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SECURIT.

COMMISSION

Washington, D.C. 20549

## ANNUAL AUDITED REPORT FORM X-17A-5 PART III

OMB APPROVAL

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## **FACING PAGE**

Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING	January 1, 2002	AND ENDING	December 31, 2002
	WINDON I		(MADD) I
A. R	EGISTRANT IDEN	TIFICATION	
NAME OF BROKER-DEALER			
Brown, Burke Securities, <del>LLC</del>	<b>L</b> NC		OFFICIAL USE ONLY
ADDRESS OF PRINCIPAL PLACE OF BUSIN	ESS: (Do not use P.O. Bo	ox No.)	FIRM ID. NO.
			2 4 2003
15 Piedmont Center	(No. and Street)	1301	
			187
Atlanta	Georgia	licit	30305
(City)	(State)		(ZIP Code)
NAME AND TELEPHONE NUMBER OF PERS	SON TO CONTACT IN F	REGARD TO THIS RE	PORT
Jon R. Burke, President			(404) 364-2065
			(Area Code — Telephone No
P. A.C.	COUNTANT IDEN	TIEICATION	<del></del>
B. AC	COUNTAINT IDEN	IIFICATION	
INDEPENDENT PUBLIC ACCOUNTANT (who	ose opinion is contained in	this Report*)	
Mayer Hoffman McCann P.C.			
(Na	me — if individual male lea, fir	st, middle now)	
780 Johnson Ferry Road, N.E., Sui	te 600 - A t l	antaGeorgia	30342-1434
(Address)	(City		(ZIP Code)
CHECK ONE			
X Certified Public Accountant Public Accountant			PROCESSED
<ul> <li>Accountant not resident in United State</li> </ul>	tes or any of its possession	ns.	T APP 0 1 2000
		1	APR 2 1 2003
I	FOR OFFICIAL USE	ONLY	PHOMSON PINANCIAL
		$\mathcal{L}(X)$	/ WONGAL
		11)	
*Claims for exemption from the requirement that the	he annual report he cover	ed by the animin of an	independent public accountant

\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See section 240.17a-5(e)(2).

SEC 1410 (06-02)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

## **OATH OR AFFIRMATION**

swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement

	ing schedules pertaining to the firm of Brown				
	I further swear (or affirm) that neither the interest in any account classified solely as that		rincipal officer of director has any		
proprietary	incress in any account classified solely as that	or a customer, except as follows.			
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		to fly full			
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		Pres	sident		
	To a state Mode of a Collection of the state	Title			
	$\Lambda \Omega$ . $\Lambda$				
III ASR	anoW termondo	Notary Public, Cobb County, Georgia My Commission Expires June 22, 2006			
- for	Notary Public	any Commission Expires June 22, 2008			
refer to the same of the same					
This report**	contains (check all applicable boxes):				
⊠ (a)	Facing page.	l <del>e</del> de la companya d			
⊠ (b)	Statement of Financial Condition				
⊠ (c)					
<b>⊠</b> (d)	•				
⊠ (e)	Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietor's Capital.				
□(f)		to Claims of Creditors.			
<b>⊠</b> (g)					
⊠ (h)	Computation for Determination of Reserve Requi	irements Pursuant to Rule 15c3-3.			

□(j) A Reconciliation, including appropriate explanation, of the Computation of Net Capital Under Rule 15c3-1 and the Computation for

⊠(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.

(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

\*\*For conditions of confidential treatment of certain portions of this filling. See section 240.17a-5(e)(3).

☐(i) Information Relating to the Possession or control Requirements Under Rule 15c3-3.

Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.

図(0) Independent auditors' report on the internal control structure required by SEC Rule 17a-5(g)(1).

⊠(l) An Oath or Affirmation.

(m) A copy of the SIPC Supplemental Report.

3/21/03

## To: SEC - Division of Market Regulation Washington, D.C.

March 17, 2003

Mr. Jon Burke, President Brown Burke Securities, LLC 15 Piedmont Center Atlanta, Georgia 30305

Dear Mr. Burke:

This will acknowledge receipt of your December 31, 2002 annual audited report of financial condition, filed pursuant to Securities and Exchange Commission SEC Rule 17a-5(d)(1)(Rule). The report as submitted was found deficient in that it did not contain the following:

1. An Oath of Affirmation signed by a duly authorized officer, general partner, or proprietor of member firm.

Therefore, your submission cannot be considered in compliance with the filing requirements of the Rule. The text of the Rule is reproduced in the *NASD Manual* under the section titled *SEC Rules & Regulation T*, and we suggest that you review it with your independent accountant if you need assistance.

Pursuant to the provisions of NASD Rule 8210, we request that you immediately send one copy of the items listed above to this office and to the SEC regional or district office, and two copies to the SEC Washington, D.C. office. Your submissions must include a new completed Part III Facing Page, a copy of which is enclosed for your convenience.

Please attend to this matter promptly. If you have any questions, please contact me, at (404) 760-8815.

Sincerely,

Sharon Jones

Sr. Compliance Examiner

cc: John Mahoney, Chief Examiner, Securities and Exchange Commission Mayer Hoffman McCann P.C.

Enclosed)

RECEIVED